

TRUSTEE-TO-TRUSTEE TRANSFER REQUEST FOR UCRP SERVICE CREDIT

UCRS 186 (R6/23) University of California Human Resources

Send form to:
Fidelity Investments
P.O. Box 770002
Cincinnati, OH 45277-0090

This form is for active UCRP members to request a trustee-to-trustee transfer from UC's Defined Contribution Plan (DC Plan), Tax-Deferred 403(b) Plan, and/or 457(b) Deferred Compensation Plan to purchase University of California Retirement Plan (UCRP) service credit. Review the instructions below to ensure the appropriate transfer is made.

PERSONAL INFORMATION	
NAME (Last, First, Middle Initial)	DAYTIME PHONE ()
MAILING ADDRESS (Number, Street)	CAMPUS/LAB LOCATION
(City, State, ZIP)	SOCIAL SECURITY NUMBER

SERVICE PURCHASE

Please indicate the amount(s) you wish to transfer from your accounts in the UC Retirement Savings Program:

Defined Contribution Plan (60988) **Pretax:**

- Withdraw proportionally across all available investment options or Amount \$ _____
- Withdraw from specific investment options: Fund Name _____ Amount \$ _____
- Fund Name _____ Amount \$ _____
- Check here if additional fund options are attached

Defined Contribution Plan (60988) **After-tax:**

- Withdraw proportionally across all available investment options or Amount \$ _____
- Withdraw from specific investment options: Fund Name _____ Amount \$ _____
- Fund Name _____ Amount \$ _____
- Check here if additional fund options are attached

Tax-Deferred 403(b) Plan (56016):

- Withdraw proportionally across all available investment options or Amount \$ _____
- Withdraw from specific investment options: Fund Name _____ Amount \$ _____
- Fund Name _____ Amount \$ _____
- Check here if additional fund options are attached

457(b) Deferred Compensation Plan (65270):

- Withdraw proportionally across all available investment options or Amount \$ _____
- Withdraw from specific investment options: Fund Name _____ Amount \$ _____
- Fund Name _____ Amount \$ _____
- Check here if additional fund options are attached

Total \$ _____

(This total plus amounts from other payment options on the *Service Credit Buyback Payment Form* (UPAY 874) must not exceed the total UCRP service credit purchase cost.)

SIGNATURE

Certification: By completing this form I certify that I have read UC's Service Credit Purchase Information Sheet included with this form. I understand that a trustee-to-trustee transfer from the Tax-Deferred 403(b) Plan or from the 457(b) Deferred Compensation Plan must be counted as an annual addition, and the total of all annual additions is subject to an annual limit under Internal Revenue Code Section 415(c). If the transfer from the Tax-Deferred 403(b) Plan or from the 457(b) Deferred Compensation Plan exceeds the annual additions limit, then it may be subject to the Internal Revenue Code Section 415(b) limit at the time of retirement. I understand that I am responsible for consulting a tax advisor for advice regarding this option. I understand UCRP may only accept a transfer in an amount that combined with amounts attributable to other payment options, does not exceed the cost to purchase. If funds submitted by Fidelity exceed the cost of the purchase, the excess funds will be returned to me in a check payable to Fidelity. If funds submitted by Fidelity are less than the total cost of the purchase, I have made additional payment arrangements using the *Service Credit Purchase Payment Form* (UPAY 874).

MEMBER SIGNATURE	DATE
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SEE REVERSE FOR PRIVACY NOTIFICATIONS

INSTRUCTIONS FOR FIDELITY INVESTMENTS USE ONLY

UCRP is a 401(a) tax-qualified defined benefit plan and will accept funds eligible for transfer into a 401(a) tax-qualified defined benefit plan to purchase permissible service credit.

Make the check payable to the Regents of the University of California ("UC Regents") for the benefit of the participant named on this form, and mail to the following address:

UC Regents/File #742312
P.O. Box 742312
Los Angeles, CA 90074-2312

PRIVACY NOTIFICATIONS

STATE

The State of California Information Practices Act of 1977 (effective July 1, 1978) requires the University to provide the following information to individuals who are asked to supply information about themselves.

The principal purpose for requesting information on this form, including your Social Security number, is to verify your identity, and/or for benefits administration, and/or for federal and state income tax reporting. University policy and state and federal statutes authorize the maintenance of this information.

Furnishing all information requested on this form is mandatory. Failure to provide such information will delay or may even prevent completion of the action for which the form is being filled out. Information furnished on this form may be transmitted to the federal and state governments when required by law.

Individuals have the right to review their own records in accordance with University personnel policy and collective bargaining agreements. Information on applicable policies and agreements can be obtained from campus or Office of the President Staff and Academic Personnel Offices.

The official responsible for maintaining the information contained on this form is the Vice President—University of California Human Resources, 1111 Franklin Street, Oakland, CA 94607-5200.

FEDERAL

Pursuant to the Federal Privacy Act of 1974, you are hereby notified that disclosure of your Social Security number is mandatory. The University's record keeping system was established prior to January 1, 1975 under the authority of The Regents of the University of California under Article IX, Section 9 of the California Constitution. The principal uses of your Social Security number shall be for state tax and federal income tax (under Internal Revenue Code sections 6011.6051 and 6059) reporting, and/or for benefits administration, and/or to verify your identity.